

# REGORA© TRAINING

## Webinars and Presential trainings



### Q2-2023

#### April

 	<b>4</b>	<b>Marketing Events and use of Social Media</b> The training aims to present the existing Regulatory Framework in the EU as well as the practice of the ESMA, as well as the forthcoming international standards.
	10:30 to 12:00	
	<b>5</b>	<b>Spain - Cross-border Activities</b> The training aims to present Spanish cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.
	10:30 to 12:00	
	<b>18</b>	<b>Mexico - Cross-border Activities</b> The training aims to present Mexico cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.
	10:30 to 12:00	
	<b>18</b>	<b>Compliance in Credits &amp; Regulatory Framework</b> The training aims to present regulatory and legal requirements the credits provider has to be in compliance with.
	14:00 to 16:30	
	<b>20</b>	<b>Compliance in Credits &amp; Regulatory Framework</b> The training aims to present regulatory and legal requirements the credits provider has to be in compliance with.
	13:00 to 16:30	
 	<b>21</b>	<b>Marketing Events and use of Social Media</b> The training aims to present the existing Regulatory Framework in the EU as well as the practice of the ESMA, as well as the forthcoming international standards.
	10:30 to 12:00	
	<b>25</b>	<b>Compliance in Credits &amp; Regulatory Framework</b> The training aims to present regulatory and legal requirements the credits provider has to be in compliance with.
	10:30 to 12:00	

**May (1/2)**

<p><b>3</b></p> 	<p>10:30 to 12:00</p>	<p><b>EU Third-Country Regime and Reverse Solicitation</b> The training aims to present EU legal framework governing cross-border activities from a third country ("third-country regime") as defined by CRD V(I) and MiFID II: offer and provision of banking and investment services. Definition and scope of the concept of reverse solicitation and impact on cross-border financial activities. Organisational duties in particular in the context of Suitability and Tax Suitability requirements.</p>
<p><b>5</b></p> 	<p>10:30 to 12:00</p>	<p><b>Hong Kong - Cross-border Activities</b> The training aims to present Hong Kong cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.</p>
<p><b>9</b></p> 	<p>8:30 to 12:00</p>	<p><b>AML Case Studies</b> The training aims to present some case studies on AML between standards, practice and case law in the Swiss regulatory landscape. .</p>
<p><b>9</b></p> 	<p>13:30 to 16:00</p>	<p><b>Risks of Internal and External Fraud</b> The training aims to present the risks of internal and external fraud that financial intermediaries face and the related mechanisms and measures for prevention, detection and reaction</p>
<p><b>10</b></p> 	<p>10:30 to 12:00</p>	<p><b>EU Third-Country Regime and Reverse Solicitation</b> The training focuses on the EU legal framework governing cross-border activities from a third country ("third-country regime") as defined by CRD V(I) and MiFID II: the offer and provision of banking and investment services, the definition and scope of the concept of reverse solicitation and impact on cross-border financial activities, the organisational duties in particular in the context of Suitability and Tax Suitability requirements.</p>
<p><b>12</b></p> 	<p>10:30 to 12:00</p>	<p><b>UAE - Cross-border Activities</b> The training aims to present UAE cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc</p>
<p><b>16</b></p> 	<p>10:30 to 12:00</p>	<p><b>Risks of Internal and External Fraud</b> The training aims to present the risks of internal and external fraud that financial intermediaries face and the related mechanisms and measures for prevention, detection and reaction</p>
<p><b>17</b></p> 	<p>10:30 to 12:00</p>	<p><b>Issues in intra-EU Cross-border Activities</b> This training aims to explain the general compliance aspects of cross-border provision of banking and investment services in the European Union.</p>
<p><b>23</b></p> 	<p>10:30 to 12:00</p>	<p><b>South Africa - Cross-border Activities</b> The training aims to present South African cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.</p>
<p><b>24</b></p> 	<p>08:30 to 10:00</p>	<p><b>EU Foreign Exchange Control: India</b> The training aims to present the foreign exchange control system in place in India and its implications for financial intermediaries with an interest in the Indian market.</p>

**May (2/2)**

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**24**



11:00 to 12:30



**EU Whistleblowing Directive – Time to Act**

The training aims to present elements of an effective whistleblowing framework as well as the legal requirements and best practice to adequately implement and run it for your company.

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**30**



10:00 to 11:00



**Data Compliance in China for Financial Institutions**

Data compliance in China for financial institutions: cross-border transfers mechanisms under China’s Personal Information Protection Law (PIPL). In this webinar, the audience will better understand the mechanisms provided by PIPL for cross-border transfers of personal information and how they are currently regulated under additional administrative measures and regulations, including those still in the approval process.

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## June (1/2)

1			<b>General Risks associated with Cross-border Activities: What's up?</b>
10:30 to 12:00	The training aims to present the following topics: definition of cross-border activities, description of the different cross-border risks related to banking and investment services, measures to be adopted in order to mitigate cross-border risks including in the collaboration with third parties.		
2			<b>Cooperation with Third Parties</b>
10:30 to 12:00	The training aims to present how to control cross-border risks when cooperating with third parties, type of activities: referral of clients, introduction of the custodian bank to foreign clients.		
5			<b>Crypto Assets - Update</b>
10:30 to 12:00	Presentation of the most important legal and regulatory developments in the field of crypto assets (with a special focus on the associated risks and regulatory bans/prohibitions).		
6			<b>Banking and Financial Contracts: State of the Art</b>
14:00 to 16:30	The trainings aims to present case law on general terms of business, clauses, and other standard agreements in the financial fields.		
7			<b>Relationship Bank - EAM</b>
9:30 to 12:00	The training aims to present the impact of the new asset manager statute on the prudential obligations of the custodian bank and asset manager.		
8			<b>Cooperation with Third Parties</b>
10:30 to 12:00	The training aims to present how to control cross-border risks when cooperating with third parties, type of activities: referral of clients, introduction of the custodian bank to foreign clients.		
9			<b>General Risks associated with Cross-border Activities: What's up?</b>
10:30 to 12:00	The training aims to present the following topics: definition of cross-border activities, description of the different cross-border risks related to banking and investment services, measures to be adopted in order to mitigate cross-border risks including in the collaboration with third parties.		
15			<b>Israel Cross-border Activities</b>
10:30 to 12:00	The training aims to present Israeli cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.		
16			<b>Issues in intra-EU Cross-border Activities</b>
10:30 to 12:00	This training aims to explain the general compliance aspects of cross-border provision of banking and investment services in the European Union.		
16			<b>Crypto Assets - Update</b>
14:00 to 15:30	Presentation of the most important legal and regulatory developments in the field of crypto assets (with a special focus on the associated risks and regulatory bans/prohibitions).		
20			<b>Banking and Financial Contracts: State of the Art</b>
14:00 to 16:30	The training aims to present case law on general terms of business, clauses, and other standard agreements in the financial fields.		

## June (2/2)

23			<b>EU Third-Country Regime and Reverse Solicitation</b>	<p>The training focuses on the EU legal framework governing cross-border activities from a third country ("third-country regime") as defined by CRD V(I) and MiFID II: the offer and provision of banking and investment services, the definition and scope of the concept of reverse solicitation and impact on cross-border financial activities, the organisational duties in particular in the context of Suitability and Tax Suitability requirements.</p>
10:30 to 12:00				
28			<b>Saudi Arabia Cross-border Activities</b>	<p>The training aims to present Saudi Arabia cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.</p>
10:30 to 12:00				
28			<b>Relationship Bank - EAM</b>	<p>The training aims to present the impact of the new asset manager statute on the prudential requirements of the custodian bank and asset manager.</p>
14:00 to 17:00				

*Please note that dates are subject to variation.*

## LEGEND

	<b>Webinar</b>
	<b>in Personal</b> training
	Training <b>in English</b>
	Training <b>in French</b>
	Training <b>in German</b>
	Training <b>in Italian</b>