

REGORA© TRAINING

Webinars and Presential trainings

Q1-2023

















January

10	 10:30 to 12:00	 Distribution of UCITs and AIFs in/into the EEA Legislative Proposals to amend the UCITs directive.
13	 10:30 to 12:00	 Cooperation with Third parties How to control cross-border risks when cooperating with third parties, type of activities: referral of clients, introduction of the custodian bank to foreign clients.
17	 10:30 to 12:00	 Brazil - cross-border Activities Brazilian cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.
20	 10:30 to 12:00	 Cross-border Limits for Trustee Activities Cross-border rules governing promotion and advertising relating to Trustee services, negotiation of trust deeds and ancillary services (for example Memorandum of wishes), Trust registration and filing, Accountancy, Conciergerie and liaison services with third parties like depository banks, advisors, etc.
23	 13:30 to 17:00	 AML Update: Workshop The training aims to present the updated legal and regulatory AML framework, as well as to present the AML case law issued by courts, FINMA, MROS and CDB supervisory committee.
24	 10:30 to 12:00	 Canada - Cross-border Activities Canadian cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.
27	 10:30 to 12:00	 Concept of Distribution – and Related Aspects of the Swiss Financial Market Regulation Understand CISA and FINSA requirement on the placement of financial products in Switzerland.
30	 10:00 to 12:00	 ESG Issues in Asset Management Presentation of the main issues of ESG legal and regulatory developments for asset managers, mainly in Switzerland with some EU perspectives.
30	 14:00 to 16:00	 ESG Issues in Wealth Management Presentation of the main issues of ESG legal and regulatory developments at the level of services and products offered to private clients, mainly in Switzerland with some EU perspectives.
31	 10:30 to 12:00	 Belgium - Cross-border Activities Belgian cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.

February

7			AML Update: Workshop The training aims to present the updated legal and regulatory AML framework, as well as to present the AML case law issued by courts, FINMA, MROS and CDB supervisory committee.
	10:30 to 12:00		
9			Malaysia / Indonesia - Cross-border Activities Malaysian and Indonesian cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.
	10:30 to 12:00		
9			France: Regulatory and Fiscal Novelties The training aims to recall the general principles on cross-border activities and to present what's new with respect to démarchage, monopolies, commercialisation of financial products, applicable law, competent courts, freedom to provide services. The second part of the training focuses on the fiscal aspects with the presentation of the following topics: 2023 financial law, DAC6, fiscal treatment of financial products, training duties of relationship managers serving French clients.
	14:00 to 18:00		
10			EU Third-Country Regime and Reverse Solicitation EU legal framework governing cross-border activities from a third country ("third-country regime") as defined by CRD V(I) and MiFID II: offer and provision of banking and investment services. Definition and scope of the concept of reverse solicitation and impact on cross-border financial activities. Organisational duties in particular in the context of Suitability and Tax Suitability requirements.
	10:30 to 12:00		
14			Automatic Exchange of Information: Recall of the Basic Notions and Focus on the Main Obligations of Financial Intermediaries The training focuses on the principles governing the automatic exchange of information and the main obligations for practitioners arising from it
	10:30 to 12:00		
17			UK - Cross-border Activities UK cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.
	10:30 to 12:00		
21			AML Update: Workshop The training aims to present the updated legal and regulatory AML framework, as well as to present the AML case law issued by courts, FINMA, MROS and CDB supervisory committee.
	10:30 to 12:00		
24			General Risks associated with Cross-border Activities: What's up? The training aims to present the following topics: definition of cross-border activities, description of the different cross-border risks related to banking and investment services, measures to be adopted in order to mitigate cross-border risks including in the collaboration with third parties.
	10:30 to 12:00		
28			AML Case Studies on Clarifications The training aims to present some case studies on the clarifications required under AMLA and related Ordinances.
	08:30 to 12:00		

March

1			Issues in intra-EU Cross-border Activities General compliance aspects of cross-border provision of banking and investment services in the European Union.
	10:30 to 12:00		
7			FINSA Update This training aims to take stock of the situation on FINSA implementation 3 years after its entry into force.
	10:30 to 12:00		
16			FINSA Update This training aims to take stock of the situation on FINSA implementation 3 years after its entry into force.
	10:30 to 12:00		
21			FINSA Update This training aims to take stock of the situation on FINSA implementation 3 years after its entry into force.
	10:30 to 12:00		
28			Overview on 2022 Case Law relevant for Banks and Financial Intermediaries This training offers an overview on case law published in 2022 that is relevant for banks and financial intermediaries.
	10:30 to 12:00		
29			Germany - Cross-border Activities German cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.
	10:30 to 12:00		
31			Italy - Cross-border Activities Italian cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.
	10:30 to 12:00		

LEGEND

	Webinar
	In Person training
	Training in English
	Training in French
	Training in German
	Training in Italian

Please note that dates are subject to variation