## A picture containing chart  Description automatically generatedREGORA© TRAINING

**Webinars and Presential trainings**

Q1-2023

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| **January** |  |  |  |
|  | **A picture containing night sky  Description automatically generated10**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_N9AiLuWBQImnqasTAofo2A) | [**Distribution of UCITs and AIFs in/into the EEA**](https://us06web.zoom.us/webinar/register/WN_N9AiLuWBQImnqasTAofo2A)Legislative Proposals to amend the UCITs directive. |
| **13**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_WfML6ZcQQDO04EAoEVvnOA) | [**Cooperation with Third parties**](https://us06web.zoom.us/webinar/register/WN_WfML6ZcQQDO04EAoEVvnOA)How to control cross-border risks when cooperating with third parties, type of activities: referral of clients, introduction of the custodian bank to foreign clients. |
| **17**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_C-zIERMkTn6On-YTy44cOw) | [**Brazil - cross-border Activities**](https://us06web.zoom.us/webinar/register/WN_C-zIERMkTn6On-YTy44cOw)Brazilian cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |
| **20**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_lkoF872eQOStyBnFSnYW3g) | [**Cross-border Limits for Trustee Activities**](https://us06web.zoom.us/webinar/register/WN_lkoF872eQOStyBnFSnYW3g)Cross-border rules governing promotion and advertising relating to Trustee services, negotiation of trust deeds and ancillary services (for example Memorandum of wishes), Trust registration and filing, Accountancy, Conciergerie and liaison services with third parties like depository banks, advisors, etc. |
| **23**13:30 to 17:00 | [¨](https://csvn.ch/corso/aml-update-workshop/) | [**AML Update: Workshop**](https://csvn.ch/corso/aml-update-workshop/)The training aims to present the updated legal and regulatory AML framework, as well as to present the AML case law issued by courts, FINMA, MROS and CDB supervisory committee. |
| **24**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_6SLccPd7S5Kxh5INxyXYxg) | [**Canada - Cross-border Activities**](https://us06web.zoom.us/webinar/register/WN_6SLccPd7S5Kxh5INxyXYxg)Canadian cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |
| **27**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_GloIDA44TfKAor1yILEqhg) | [**Concept of Distribution – and Related Aspects of the Swiss Financial Market Regulation**](https://us06web.zoom.us/webinar/register/WN_GloIDA44TfKAor1yILEqhg)Understand CISA and FINSA requirement on the placement of financial products in Switzerland. |
| **30** 10:00 to 12:00 | ¨ | **ESG Issues in Asset Management**Presentation of the main issues of ESG legal and regulatory developments for asset managers, mainly in Switzerland with some EU perspectives. |
| **30**    14:00 to 16:00 | ¨ | **ESG Issues in Wealth Management** Presentation of the main issues of ESG legal and regulatory developments at the level of services and products offered to private clients, mainly in Switzerland with some EU perspectives.  |
| **31**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_Vfn0w8BYSZqxhos1KoKvbg) | [**Belgium - Cross-border Activities**](https://us06web.zoom.us/webinar/register/WN_Vfn0w8BYSZqxhos1KoKvbg)Belgian cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |
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| **February** |  |  |  |
|  | **7**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_sjTnyMCWQfqxy8VmJa8T6A) | [**AML Update: Workshop**](https://us06web.zoom.us/webinar/register/WN_sjTnyMCWQfqxy8VmJa8T6A)The training aims to present the updated legal and regulatory AML framework, as well as to present the AML case law issued by courts, FINMA, MROS and CDB supervisory committee. |
| **9**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_AhpEYzBATea84tEVbo4C0Q) | **[Malaysia / Indonesia - Cross-border Activities](https://us06web.zoom.us/webinar/register/WN_AhpEYzBATea84tEVbo4C0Q)**Malaysian and Indonesian cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |
| **9**14:00 to 18:00 | ¨ | **France: Regulatory and Fiscal Novelties**The training aims to recall the general principles on cross-border activities and to present what’s new with respect to démarchage, monopolies, commercialisation of financial products, applicable law, competent courts, freedom to provide services. The second part of the training focuses on the fiscal aspects with the presentation of the following topics: 2023 financial law, DAC6, fiscal treatment of financial products, training duties of relationship managers serving French clients. |
| **10**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_D4b6byelSUqXUqV3JsIhCw) | **[EU Third-Country Regime and Reverse Solicitation](https://us06web.zoom.us/webinar/register/WN_D4b6byelSUqXUqV3JsIhCw)**EU legal framework governing cross-border activities from a third country ("third-country regime") as defined by CRD V(I) and MiFID II: offer and provision of banking and investment services. Definition and scope of the concept of reverse solicitation and impact on cross-border financial activities. Organisational duties in particular in the context of Suitability and Tax Suitability requirements. |
| **14**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_3nEdQXqARK2Y1SyEwTgISg) | [**Automatic Exchange of Information: Recall of the Basic Notions and Focus on the Main Obligations of Financial Intermediaries**](https://csvn.ch/corso/scambio-automatico-di-informazioni-richiamo-delle-nozioni-di-base-e-focus-sui-principali-obblighi-degli-intermediari-finanziari/) The training focuses on the principles governing the automatic exchange of information and the main obligations for practitioners arising from it  |
| **17**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_emcDFrwiTGmffiksdh_xAQ) | [**UK - Cross-border Activities**](https://us06web.zoom.us/webinar/register/WN_emcDFrwiTGmffiksdh_xAQ)UK cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |
| **21**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_T84JclF3QiG9qGjPmrv9pg) | **[AML Update: Workshop](https://us06web.zoom.us/webinar/register/WN_T84JclF3QiG9qGjPmrv9pg)**The training aims to present the updated legal and regulatory AML framework, as well as to present the AML case law issued by courts, FINMA, MROS and CDB supervisory committee. |
| **24**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_f4vZHC7qT1WbiKze7j34PQ) | [**General Risks associated with Cross-border Activities: What's up?**](https://us06web.zoom.us/webinar/register/WN_f4vZHC7qT1WbiKze7j34PQ) The training aims to present the following topics: definition of cross-border activities, description of the different cross-border risks related to banking and investment services, measures to be adopted in order to mitigate cross-border risks including in the collaboration with third parties**.** |
| **28**08:30 to 12:00 | [¨](https://csvn.ch/corso/aml-case-studies-tra-norme-prassi-e-giurisprudenza-del-panorama-regolamentare-svizzero/) | [**AML Case Studies on Clarifications**](https://csvn.ch/corso/aml-case-studies-tra-norme-prassi-e-giurisprudenza-del-panorama-regolamentare-svizzero/)The training aims to present some case studies on the clarifications required under AMLA and related Ordinances. |
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| **March** |  |  |  |
|  | **1**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_YdACtrYtR0qrHhfg1aGJgg) | [**Issues in intra-EU Cross-border Activities**](https://us06web.zoom.us/webinar/register/WN_YdACtrYtR0qrHhfg1aGJgg)General compliance aspects of cross-border provision of banking and investment services in the European Union. |
| **A picture containing night sky  Description automatically generated7**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_zAUjFdjKSPCzUsODFRX3KA) | [**FINSA Update**](https://us06web.zoom.us/webinar/register/WN_zAUjFdjKSPCzUsODFRX3KA)This training aims to take stock of the situation on FINSA implementation 3 years after its entry into force.  |
| **16**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_4wNr3vO0SF2-o2GjuUeldg) | [**FINSA Update**](https://us06web.zoom.us/webinar/register/WN_4wNr3vO0SF2-o2GjuUeldg) This training aims to take stock of the situation on FINSA implementation 3 years after its entry into force.  |
| **21**10:30 to 12:00 | [V](https://csvn.ch/corso/lserfi-stato-dellarte/) | **[FINSA Update](https://csvn.ch/corso/lserfi-stato-dellarte/)**This training aims to take stock of the situation on FINSA implementation 3 years after its entry into force.  |
| **28**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_03om6XJqSnazjNaxYo-0Ag) | [**Overview on 2022 Case Law relevant for Banks and Financial Intermediaries**](https://us06web.zoom.us/webinar/register/WN_03om6XJqSnazjNaxYo-0Ag) This training offers an overview on case law published in 2022 that is relevant for banks and financial intermediaries.  |
| **29**Germany Icon Vector Art, Icons, and Graphics for Free Download10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_KIWTDHVoR2ekf0H8W6tyvg) | [**Germany - Cross-border Activities**](https://us06web.zoom.us/webinar/register/WN_KIWTDHVoR2ekf0H8W6tyvg)German cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |
| **31**10:30 to 12:00 | [V](https://us06web.zoom.us/webinar/register/WN_kGLILfbGQv6AU8LS2vnuog) | **[Italy - Cross-border Activities](https://us06web.zoom.us/webinar/register/WN_kGLILfbGQv6AU8LS2vnuog)**Italian cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |

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| **April** |  |  |  |
|  | **4**10:30 to 12:00 | V | **Marketing events and use of social media**The training aims to present the existing Regulatory Framework in the EU as well as the practice of the ESMA, as well as the forthcoming international standards. |
| **5**10:30 to 12:00 | V | **Spain - Cross-border Activities**The training aims to present Spanish cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |
| **18**10:30 to 12:00 | V | **Mexico - Cross-border Activities**The training aims to present Mexico cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |
| **18**14:00 to 16:30 | ¨ | **Compliance in Credits & Regulatory Framework**The training aims to present regulatory and legal requirements the credits provider has to be in compliance with. |
| **20**14:00 to 16:30 | ¨ | **Compliance in Credits & Regulatory Framework**The training aims to present regulatory and legal requirements the credits provider has to be in compliance with. |
| **21**10:30 to 12:00 | V | **Marketing events and use of social media**The training aims to present the existing Regulatory Framework in the EU as well as the practice of the ESMA, as well as the forthcoming international standards. |
| **25**10:30 to 12:00 |  | **Compliance in Credits & Regulatory Framework**The training aims to present regulatory and legal requirements the credits provider has to be in compliance with. |



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| **May** |  |  |  |
|  | **3**10:30 to 12:00 | V | **EU Third-Country Regime and Reverse Solicitation**The training aims to present EU legal framework governing cross-border activities from a third country ("third-country regime") as defined by CRD V(I) and MiFID II: offer and provision of banking and investment services. Definition and scope of the concept of reverse solicitation and impact on cross-border financial activities. Organisational duties in particular in the context of Suitability and Tax Suitability requirements. |
| **A picture containing night sky  Description automatically generated5**10:30 to 12:00 | V | **Hong Kong - Cross-border Activities**The training aims to present Hong Kong cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |
| **9**14:00 to 16:30  | ¨ | **Fraud**The training aims to present the regulatory requirements, the financial institution has to respect to preventing external and internal fraud. |
| **10****A picture containing night sky  Description automatically generated**10:30 to 12:00 | V | **EU Third-Country Regime and Reverse Solicitation**The training focuses on the EU legal framework governing cross-border activities from a third country ("third-country regime") as defined by CRD V(I) and MiFID II: the offer and provision of banking and investment services, the definition and scope of the concept of reverse solicitation and impact on cross-border financial activities, the organisational duties in particular in the context of Suitability and Tax Suitability requirements. |
| **12**10:30 to 12:00 | V | **UAE- Cross-border Activities**The training aims to present UAE cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc |
| **16**10:30 to 12:00 | V | **Fraud**The training aims to present the regulatory requirements, the financial institution has to respect to preventing external and internal fraud. |
| **17****A picture containing night sky  Description automatically generated**10:30 to 12:00 | V | **EU Third-Country Regime and Reverse Solicitation**The training focuses on the EU legal framework governing cross-border activities from a third country ("third-country regime") as defined by CRD V(I) and MiFID II: the offer and provision of banking and investment services, the definition and scope of the concept of reverse solicitation and impact on cross-border financial activities, the organisational duties in particular in the context of Suitability and Tax Suitability requirements. |
| **23**10:30 to 12:00 | V | **South Africa - Cross-border Activities**The training aims to present South African cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.  |
|  | **A picture containing night sky  Description automatically generated24**10:30 to 12:00 | V | **EU Whistleblowing Directive – Time to Act**The training aims to present elements of an effective whistleblowing framework as well as the legal requirements and best practice to adequately implement and run it for your company. |

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| **June** |  |  |  |
|  | **2**10:30 to 12:00 | V | **Cooperation with Third Parties**The training aims to present how to control cross-border risks when cooperating with third parties, type of activities: referral of clients, introduction of the custodian bank to foreign clients. |
| **2**14:00 to 15:30 | V | **Cooperation with Third Parties**The training aims to present how to control cross-border risks when cooperating with third parties, type of activities: referral of clients, introduction of the custodian bank to foreign clients. |
| **6**14:00 to 16:30  | ¨ | **Case Law on Contractual Clauses**The trainings aims to present case law on general terms of business, clauses, and other standard agreements in the financial fields. |
| **7**9:30 to 12:00 | V | **Relationship Bank - EAM**The training aims to present the impact of the new asset manager statute on the prudential obligations of the custodian bank and asset manager. |
| **9**10:30 to 12:00 | V | **General Risks associated with Cross-border Activities: What’s up?**The training aims to present the following topics: definition of cross-border activities, description of the different cross-border risks related to banking and investment services, measures to be adopted in order to mitigate cross-border risks including in the collaboration with third parties. |
| **9**14:00 to 15:30 | V | **General Risks associated with Cross-border Activities: What’s up?**The training aims to present the following topics: definition of cross-border activities, description of the different cross-border risks related to banking and investment services, measures to be adopted in order to mitigate cross-border risks including in the collaboration with third parties. |
| **16**Israel map silhouette with flag on black background 3330780 Vector Art at  Vecteezy10:30 to 12:00 | V | **Israel Cross-border Activities**The training aims to present Israeli cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |
| **A picture containing night sky  Description automatically generated16**10:30 to 12:00 | V | **Issues in intra-EU Cross-border Activities**This training aims to explain the general compliance aspects of cross-border provision of banking and investment services in the European Union. |
|  | **16**14:00 to 15:30 | V | **Relationship Bank - EAM**The training aims to present the following topics: Understand the impact of the new asset manager statute on the prudential obligations of the custodian bank and asset manager. |
|  | **20**14:00 to 16:30 | V | **Case Law on Contractual Clauses**The training aims to present case law on general terms of business, clauses, and other standard agreements in the financial fields |
|  | **A picture containing night sky  Description automatically generated23**10:30 to 12:00 | V | **Issues in intra-EU Cross-border Activities**This training aims to explain the general compliance aspects of cross-border provision of banking and investment services in the European Union.  |
|  | **28** 10:30 to 12:00 | V | **Saudi Arabia Cross-border Activities**The training aims to present Saudi Arabia cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc.  |

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| **July** |  |  |  |
|  | Xx:xx to xx:xx | V | **Marketing Events and the Use of social media**The training aims to present the existing Regulatory Framework in the EU as well as the practice of the ESMA, as well as the forthcoming international standards. |
| Xx:xx to xx:xx | V | **Marketing Events and the Use of Social Media**The training aims to present the existing Regulatory Framework in the EU as well as the practice of the ESMA, as well as the forthcoming international standards.  |
| **A picture containing night sky  Description automatically generated**Xx:xx to xx:xx | V | **Distribution of UCITs and AIFs in/into the EEA**The training aims to present the legislative Proposals to amend the UCITs and the AIFM directives. |

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| **September** |  |  |  |
|  | Xx:xx to xx:xx | V | **Regulatory Training Requirements**The training aims to present the content of the continuing education obligation for employees of financial institution according to their function. |
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| Xx:xx to xx:xx | V | **Fraud**The training aims to present the regulatory requirements, the financial institution has to respect to preventing external and internal fraud. |
| Xx:xx to xx:xx | ¨ | **CDB20 Special Cases**Description missing |
| Xx:xx to xx:xx | V | **Italy: cross-border activities with a EU Passport - Issues on Door-to-door Regime**The training aims to present the Italian framework on “door-to-door” offer of banking/investment services by EU banks and intermediaries & the boundaries of the EU passport. |
| Xx:xx to xx:xx | V | **Morocco - Cross-border Activities**The training aims to present Morocco cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |
|  | Xx:xx to xx:xx | V | **Argentina - Cross-border Activities**The training aims to present Argentina cross-border rules governing financial promotion and advertising relating to banking and investment services, negotiation of financial services agreements, provision of banking and investment services, cooperation with third parties (finders, business introducers and EAMs), etc. |

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| **October** |  |  |  |
|  | Xx:xx to xx:xx | V | **AML Reporting Duties for Banks having a European Passport**EU banks offering their financial services to Spanish clients under the passporting regime (freedom to provide service) have long been confronted with the difficulty of understanding the specific AML reporting rules and requirements. |
| Xx:xx to xx:xx | ¨ | **Civil Law – Chosen Topics**Description missing |
| Xx:xx to xx:xx | V | **Duty to report to MROS**The duty to report to MROS became one of the major obligation for a financial institution. The training presents all aspects of this obligation. |
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|  Xx:xx to xx:xx | ¨ | **General Risks associated with Cross-border Activities: What’s up?**The training aims to present the following topics: definition of cross-border activities, description of the different cross-border risks related to banking and investment services, measures to be adopted in order to mitigate cross-border risks including in the collaboration with third parties. |
| Xx:xx to xx:xx | V | **General Risks associated with Cross-border Activities: What’s up?**The training aims to present the following topics: definition of cross-border activities, description of the different cross-border risks related to banking and investment services, measures to be adopted in order to mitigate cross-border risks including in the collaboration with third parties.  |
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| Xx:xx to xx:xx | V | **Marketing Events and Use of social Media**The training aims to present the existing Regulatory Framework in the EU as well as the practice of the ESMA, as well as the forthcoming international standards. |

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| **November** (1/2) |
|  | Xx:xx to xx:xx | V | **EU Third-Country Regime and Reverse Solicitation**The training focuses on the EU legal framework governing cross-border activities from a third country ("third-country regime") as defined by CRD V(I) and MiFID II: the offer and provision of banking and investment services, the definition and scope of the concept of reverse solicitation and impact on cross-border financial activities, the organisational duties in particular in the context of Suitability and Tax Suitability requirements |
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| Xx:xx to xx:xx | ¨ | **AML Update: Workshop**The training aims to present the updated legal and regulatory AML framework, as well as to present the AML case law issued by courts, FINMA, MROS and CDB supervisory committee. |
| Xx:xx to xx:xx | ¨ | **AML Update: Workshop**The training aims to present the updated legal and regulatory AML framework, as well as to present the AML case law issued by courts, FINMA, MROS and CDB supervisory committee.  |
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|  | Xx:xx to xx:xx | V | [**Cross-border Limits for Trustee Activities**](https://us06web.zoom.us/webinar/register/WN_lkoF872eQOStyBnFSnYW3g)Cross-border rules governing promotion and advertising relating to Trustee services, negotiation of trust deeds and ancillary services (for example Memorandum of wishes), Trust registration and filing, Accountancy, Conciergerie and liaison services with third parties like depository banks, advisors, etc.  |
|  | Xx:xx to xx:xx | ¨ | **Asset Management Mandate: News and Case Law**The training explains the first experiences with the implementation of the Financial Services Act in asset management and investment advising, and presents the updated legal civil framework governing these mandates. |
| **November** (2/2) |
|  | Xx:xx to xx:xx | ¨ | **Asset Management Mandate: News and Case Law**The training explains the first experiences with the implementation of the Financial Services Act in asset management and investment advising, and presents the updated legal civil framework governing these mandates. |
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|  | Xx:xx to xx:xx | V | **UK Divergence from EU Regulation**The training presents the UK regulatory regime governing cross-border financial services; developments after BREXIT and analysis of gaps in relation to key EU regulations - CRD, MiFID II, UCITS, AIFMD, PRIIPS, etc. |
|  | Xx:xx to xx:xx | V | **Days of Presence in Target Countries: Quo Vadis?**Description missing  |

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| --- | --- | --- | --- |
| **December** |  |  |  |
|  | Xx:xx to xx:xx | V | **Who is the Client?**The training focuses on the definition of the concept of client according to tax and financial regulations governing banking activities, investment services and the distribution of financial products. We will discuss about practical impacts, in particular on the configuration of IT tools within banks and other financial intermediaries. |
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| Xx:xx to xx:xx | V | **Data Management**The training aims to present the main clauses obliging the financial service provider to collect data on clients and other person linked to this relationship. |
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| Xx:xx to xx:xx | V | **Issues in intra-EU Cross-border Activities**This training aims to explain the general compliance aspects of cross-border provision of banking and investment services in the European Union. |
| Xx:xx to xx:xx | V | [**Distribution of UCITs and AIFs in/into the EEA**](https://us06web.zoom.us/webinar/register/WN_N9AiLuWBQImnqasTAofo2A)The training aims to present the legislative Proposals to amend the UCITs and the AIFM directives. |

*Please note that dates are subject to variation*